

ODA3 INSTITUTE

ADOPTION GUIDE

GAISSF™ Appendix P.1

SMB Quick-Start

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Executive Summary

Appendix P.1 SMB Quick-Start is one of two conformance-profile entry points GAISSF™ recognizes, alongside the full domain set. This guide orients you to what that profile is and how to approach it — it is not itself a control catalogue, an assessment methodology, or a substitute for reviewing the authoritative profile.

This guide assumes you've already read the suite-level or GAISSF-specific introduction and have decided GAISSF™ is relevant to you. If not, start there first.

METHODOLOGY NOTE

This guide is introductory and conceptual. It contains no client outcome data, no proprietary telemetry, and no incident statistics. The worked scenario is a labeled hypothetical.

1. What Appendix P.1 Is

GAISSF™ recognizes two conformance-profile starting points: the full applicable domain set, or Appendix P.1 SMB Quick-Start. Which one applies is determined by the system's profile — capability and exposure, architecture and data flow, and assurance ambition — not organizational size or pilot progress. Choosing a profile for convenience or a desired certificate is not permitted.

Despite the "SMB" label, organizational size alone does not determine whether Appendix P.1 is appropriate. Profile selection is driven by the documented system scope and applicable GAISSF™ scoping factors — the same factors that apply to any GAISSF™ scoping exercise.

2. Scoping Factors to Evaluate for Appendix P.1

The public GAISSF™ scoping guidance identifies three factors that should be evaluated when determining the appropriate profile. These are scoping considerations to evaluate, not standalone eligibility criteria:

- The system's capability and exposure — autonomy level, tool-call and data access, internal vs. external facing.
- The architecture and data flow — deployment model, providers, and third-party dependencies.
- The organization's assurance ambition at this stage.

Where evaluation of these factors points toward broader scope — higher autonomy, sensitive data, external exposure, or a higher assurance target — the full applicable GAISSF™ domain set is the appropriate starting point instead, regardless of organization size.

3. What This Guide Does Not Establish

The public sources reviewed for this guide do not reproduce Appendix P.1's complete control identifiers, evidence requirements, or implementation detail. Readers should not treat any control list from a secondary source as authoritative — verify those details against the applicable authoritative Appendix P.1 instrument before implementation or assessment use.

KEY PRINCIPLE

Selection or implementation of Appendix P.1 does not by itself establish GAISSF™ Foundational conformance, Foundational Attestation, or a certification conclusion. This guide is an implementation orientation to the profile; it is not an assessment methodology or a substitute for the applicable conformance and assessment requirements.

4. A Worked Scenario (Hypothetical)

Consider a hypothetical logistics company piloting a limited-autonomy, internal-only AI system that recommends delivery-route changes to dispatchers. Before any GAISSTTM work, the pilot's safety case is informal — a shared document describing how the tool should be used.

Having confirmed that the system's profile makes Appendix P.1 the appropriate starting point, the team's next step is preparatory GAISSTTM work — not an attempt to reconstruct Appendix P.1's control set. As general preparation, they could document the system boundary and data-access scope, and begin assembling process and technical evidence such as human-review records and relevant logging of recommendation overrides and outcomes. The specific controls and evidence required by Appendix P.1 must be taken from the authoritative profile instrument.

This scenario is illustrative only. It does not describe an ODA3 client, engagement, or outcome.

5. How to Approach an Appendix P.1 Quick-Start Review

- 1. Define the system boundary and intended scope for one AI system or pilot.
- 2. Confirm Appendix P.1 is the appropriate profile for that scope, using the capability/architecture/ambition factors above — not organization size.
- 3. Review the applicable Appendix P.1 controls against available implementation and technical evidence.
- 4. Record gaps and determine whether remediation is technical, procedural, governance-related, or mixed.
- 5. Record limitations and any unresolved applicability questions.
- 6. Determine whether broader GAISSTTM applicability analysis is needed.

6. What Happens After the Quick-Start

Evidence collected during an Appendix P.1 review may be reusable when expanding scope, provided it remains current, relevant, traceable, and applicable to the expanded assessment boundary — carrying forward is not guaranteed.

Changes in system capability, autonomy, data access, tool access, deployment context, intended use, suppliers, or consequence severity should trigger reassessment of scope and applicability. That reassessment may require progression beyond the Quick-Start profile to the full domain set.

NOTABLY ABSENT

This guide does not enumerate Appendix P.1's control IDs, evidence specifications, or assessment scoring, and does not itself establish GAISSTTM conformance, certification, regulatory compliance, or control effectiveness.

Source note: GAISST-NOR-001 and the Getting Started guidance (docs.oda3.org) are authoritative for the framework baseline and scoping principles. Profile-specific requirements must be verified against the applicable Appendix P.1 instrument.

Next Reading

- The 10-Minute Introduction to GAISSTTM
- ODA3 Institute in 15 Minutes
- Mapping GAISST to NIST AI RMF

GAISSTTM, UAIFTM, AI-IRFTM, and PAI-SFTM are frameworks of ODA3 Institute, referenced under the GAISST Ecosystem License (GEL) v1.0.