

ODA3 INSTITUTE

INTELLECTUAL PROPERTY POLICY

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# UAIF™ Intellectual Property Policy

Master IP Governance Document

UAIF-IP-001 | Public

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## UAIF Intellectual Property Policy

| Item                | Value                                                      |
|---------------------|------------------------------------------------------------|
| Document Reference  | UAIF-IP-001                                                |
| Version             | v1.1                                                       |
| Status              | PUBLISHED - corrected public edition                       |
| Publication history | Published June 2026; updated 04 October 2026               |
| Classification      | PUBLIC                                                     |
| Published By        | ODA3 Institute (ODA3 Pvt Ltd)                              |
| Governing Licence   | GAISSF Ecosystem Licence (GEL v1.0)                        |
| Governing Law       | India (default), subject to mandatory law where applicable |
| Contact             | legal@oda3.org                                             |

### PURPOSE

This Policy governs operational intellectual-property administration for the Unified AI Incident Framework (UAIF™). GEL v1.0 governs licence grants, use, redistribution, commercial-use restrictions, and reserved trademark and governance authority. UAIF-IP-001 operates subject to GEL v1.0 and does not amend or expand GEL rights.

### GOVERNANCE HIERARCHY

GEL v1.0 → UAIF-IP-001 → subordinate UAIF IP instruments (including UAIF-CLA-002, UAIF-DCA-004, UAIF-TM-005 and UAIF-DPR-007). If this Policy conflicts with GEL v1.0, GEL v1.0 governs. Subordinate instruments govern their specialised subject matter only to the extent consistent with both GEL and this Policy.

## 1. Scope, Definitions and Application

This Policy applies to ODA3-owned UAIF materials and to IP administration associated with the UAIF programme, including the specification, machine-readable schemas, reference implementations when published, conformance tooling when published, sector calibration materials, accepted contributions, designated defensive publications, UAIF marks, and programme documentation.

This Policy does not claim ownership of independently created third-party code, research, products, or documentation merely because they interoperate with, discuss, or factually reference UAIF. Use, reproduction, modification, redistribution, or commercial exploitation of ODA3 Licensed Material remains governed by GEL v1.0.

### 1.1 Definitions

| Term | Meaning                                                                                                           |
|------|-------------------------------------------------------------------------------------------------------------------|
| GEL  | GAISSF Ecosystem Licence v1.0 or the applicable successor licence expressly identified for the relevant material. |
| UAIF | Unified AI Incident Framework, including officially published normative and informative UAIF artefacts.           |

| Term                      | Meaning                                                                                                                                                                      |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ODA3 Work                 | A work authored or owned by ODA3 Pvt Ltd, including protectable compilations, documentation, software and other materials published by ODA3 Institute.                       |
| Contribution              | Material submitted through an authorised contribution channel and accepted subject to the applicable contributor agreement.                                                  |
| Conformant Implementation | An implementation represented as conformant only to the extent it satisfies the applicable published UAIF requirements; no external approval or assurance status is implied. |
| Defensive Publication     | A public technical disclosure intended to establish prior art and documented under UAIF-DPR-007.                                                                             |
| Commercial Licence        | A separate written agreement authorising Commercial Use otherwise restricted by GEL.                                                                                         |

## 2. Copyright and Contributions

### 2.1 ODA3-owned material

ODA3 Pvt Ltd owns copyright in material authored by or assigned to it, and in the selection, coordination and arrangement of UAIF compilations to the extent protectable by law. Copyright notices for ODA3-owned materials use the legal entity name: © 2026 ODA3 Pvt Ltd. ODA3 Institute is the market-facing brand.

### 2.2 Third-party contributions

Contributors retain copyright in their individual Contributions except to the extent rights are assigned by a separate written instrument. Acceptance of a Contribution requires the applicable UAIF Contributor Licence Agreement (UAIF-CLA-002), under which contributors grant ODA3 the rights stated in that agreement, including the rights required to reproduce, modify, publish, distribute and commercialise accepted Contributions. Contributor rights and ODA3 rights are intentionally asymmetric where UAIF-CLA-002 so provides.

Any contributor patent licence, moral-rights waiver or consent, employer authorisation, joint-authorship treatment, representations and warranties are governed by UAIF-CLA-002 and apply only to the extent stated there and permitted by applicable law.

### 2.3 Attribution

#### REQUIRED ATTRIBUTION

Where GEL v1.0 requires attribution for use, reproduction or redistribution of Licensed Material, use: "GAISSF Ecosystem developed by ODA3 Institute, licensed under GEL v1.0." Space-constrained short form: "GAISSF Ecosystem © ODA3 Institute, GEL v1.0". Modified versions must also carry the modification and disclaimer notices required by GEL v1.0.

## 3. Licence Structure and Public-Availability Boundary

| Component                                        | Rights / status                                                                        | Boundary                                                                                                                                     |
|--------------------------------------------------|----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| Core UAIF specification and public documentation | Published under GEL v1.0; non-commercial use and public citation subject to GEL terms. | Commercial Use requires a separate written commercial licence. Publication does not create endorsement, mark-use, audit or assurance rights. |
| Reference implementation / software              | If and when released, governed by the licence and notices accompanying that release.   | This Policy does not represent that a particular repository build or package is currently approved or publicly available.                    |

| Component                        | Rights / status                                                                  | Boundary                                                                                                                                               |
|----------------------------------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| Conformance tooling / test suite | If and when released, may be used under its stated GEL terms.                    | Passing tooling does not by itself establish organisational, product, regulatory, external-assurance or approval status.                               |
| Sector calibration materials     | Controlled/restricted commercial resources unless expressly published otherwise. | Availability and permitted use require the applicable written agreement or publication notice.                                                         |
| Benchmarking / contributed data  | Governed by UAIF-DCA-004 and applicable data-protection requirements.            | Contributor source data, administrative data, aggregated outputs and derived findings are governed according to their distinct status in UAIF-DCA-004. |
| Defensive publications           | Public technical disclosures under UAIF-DPR-007.                                 | Prior-art effect and ODA3 non-assertion are distinct; no third-party patent clearance is promised.                                                     |

### 3.1 Relationship to GEL v1.0

GEL v1.0 is source-available, non-commercial by default and commercial-use restricted. This Policy administers UAIF IP within that licensing architecture; it does not convert UAIF into open-source software, waive GEL restrictions, or grant rights beyond those expressly stated in GEL or a separate written agreement.

ODA3 may develop commercial licences, sector-specific resources, software, assessments and training. This Policy does not represent that any separate assessment, audit or independent-assurance service is operating unless an approved public artefact expressly states otherwise.

## 4. Patent Governance

### 4.1 ODA3 patent non-assertion covenant

For UAIF MAJOR version 1 and officially published MINOR/PATCH updates within that MAJOR version, ODA3 Pvt Ltd publicly commits not to assert patent claims that it owns or controls against a party solely for implementing UAIF in conformance with its published terms, provided the implementation remains within the scope of that conformance.

- The covenant applies only to patent claims owned or controlled by ODA3; it does not address third-party patent rights.
- It does not authorise use of ODA3 marks, waive GEL commercial-use restrictions, or create endorsement, approval or assurance status.
- It does not apply to uses outside the scope of conformant UAIF implementation or to independent infringement unrelated to UAIF implementation.
- No patent licence is implied beyond the express covenant and any rights expressly granted by GEL or another written instrument.
- Any transfer of relevant ODA3 patent rights should be made subject to the applicable published covenant to the extent legally available; successor obligations are administered through ODA3's IP-transfer controls.

ODA3 may extend a comparable covenant to future UAIF MAJOR versions by express publication. A published covenant applicable to an already released UAIF version is not narrowed retroactively except to the extent required by law.

### 4.2 Defensive publication

Where ODA3 designates a UAIF algorithm or technical method for defensive publication, UAIF-DPR-007 governs the disclosure record, technical enablement, publication evidence, correction history and archive controls.

**COORDINATED PUBLICATION DEPENDENCY:** For the 04 October 2026 corrected public release, UAIF-DPR-007 is published in the same release batch as this Policy. The two artefacts should not be separated in the public release package because this Policy relies on UAIF-DPR-007 for defensive-publication administration.

### PRIOR ART ≠ PATENT CLEARANCE

A defensive publication is intended to create publicly accessible prior art from its publication date. Its legal effect depends on the disclosure, publication evidence, jurisdiction and applicable patent law. It does not guarantee that no patent can issue, does not establish freedom to operate, and does not clear third-party patents. ODA3's non-assertion covenant is a separate commitment concerning ODA3-owned or ODA3-controlled patent claims.

Published defensive-publication records are maintained as permanent historical records. Corrections or superseding disclosures may be issued without withdrawing the earlier publication record.

## 5. Trademark and Brand Governance

Trademark and brand administration is governed by GEL v1.0 Section 9 and UAIF-TM-005. Current registration/application status is maintained in the official ODA3 trademark register rather than hardcoded in this Policy because status varies by jurisdiction and can change over time.

- Use <sup>TM</sup> for marks where appropriate unless registration in the relevant jurisdiction has been confirmed. Use ® only where the mark is registered and such use is legally appropriate.
- Nominative factual references to UAIF must not imply sponsorship, endorsement, approval or affiliation.
- Use of any controlled UAIF or ODA3 mark beyond nominative factual reference requires the rights and written authorisation specified by GEL v1.0 and UAIF-TM-005.
- No party may create a confusingly similar mark, derivative logo, misleading fork, false compatibility claim or other representation likely to imply ODA3 approval or affiliation.
- ODA3 visual identity assets, including the ODA3 Institute logo, are not licensed merely by GEL access to framework text.

This Policy does not grant rights to use controlled ODA3 or UAIF marks, imply endorsement, or create any current certification status. Certification is not currently offered. ODA3 Institute may establish a future UAIF certification programme only through separate approved and published governance, criteria, assessment, appeals, registry, and mark-use artefacts.

## 6. Contributor and Data Governance

### 6.1 Contributor administration

| Contributor context          | Applicable instrument / boundary                                                                                                                       |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| Individual contribution      | UAIF-CLA-002 ICLA; contributor authority, copyright/patent grants, representations and contribution provenance as stated in the agreement.             |
| Entity/employer contribution | UAIF-CLA-002 ECLA; entity authority and authorised-personnel flow-down obligations as stated in the agreement.                                         |
| Committee or reviewer role   | Any additional committee, confidentiality, conflict-of-interest or reviewer agreement required for the role; no independent IP stewardship is created. |
| Data contribution            | UAIF-DCA-004; no data contribution is accepted merely because a person has signed a code/specification CLA.                                            |

### 6.2 Data governance

UAIF-DCA-004 governs benchmarking and calibration-data contributions. Contributed datasets intended for the anonymised-data pathway must be genuinely anonymous under the applicable Recital 26 standard: data must no longer relate to an identified or identifiable natural person. Replacing direct identifiers with codes is pseudonymisation, not anonymisation, and pseudonymised data remains Personal Data.

Administrative data needed to execute or administer contribution agreements (for example, signatory names, business contact details and records of agreement administration) is handled separately from anonymised Contributed Data and remains subject to applicable data-protection law.

Restrictions in GEL v1.0 on third-party AI/ML ingestion apply to licensees and downstream users. They do not prevent ODA3, as rights holder, from using its own materials or lawfully acquired rights within the scope of its ownership and contributor/data agreements. Any use of third-party contributed data remains subject to UAIF-DCA-004 and applicable law.

## 7. Enforcement, Amendments and Continuity

### 7.1 Enforcement

ODA3 Pvt Ltd may enforce its copyright, trademark, patent, contract and related rights under applicable law. GEL v1.0 governs licence termination and cure rights. Enforcement priorities may include unauthorised Commercial Use, false compatibility or endorsement claims, misuse of marks, unauthorised redistribution of controlled resources, and misrepresentation of source, authorship or affiliation.

### 7.2 Policy amendments

ODA3 Institute may amend this Policy by publishing an updated version and revision record. Amendments operate subject to GEL v1.0 and do not retroactively enlarge restrictions on rights already granted for a previously obtained version except where applicable law or the governing agreement permits. Any change affecting a published patent non-assertion covenant must preserve the express commitments already attached to released UAIF versions unless legally impossible.

### 7.3 Successor and archive continuity

Where relevant ODA3 rights or stewardship responsibilities are transferred, ODA3 should require the successor or assignee to preserve applicable public licence commitments, published non-assertion commitments, defensive-publication archives and source-availability obligations to the extent required by GEL v1.0 and the governing transaction documents.

## 8. Governing Law and Dispute Resolution

This Policy is governed by the laws of India, subject to mandatory rights and remedies that cannot lawfully be excluded. Disputes should first be subject to 30 days' good-faith negotiation following written notice. If unresolved and arbitrable, disputes are referred to binding arbitration under the Arbitration and Conciliation Act, 1996, as amended, administered under the applicable Delhi International Arbitration Centre (DIAC) Rules, with seat in New Delhi, India, and proceedings in English, consistently with GEL v1.0.

Either party may seek interim relief from a court of competent jurisdiction where available. Questions concerning the validity, registration, revocation or ownership of registered intellectual-property rights remain subject to the jurisdiction of competent courts, registries or authorities to the extent such matters are not legally arbitrable.

Nothing in this Policy waives statutory rights that cannot be contracted away. If a provision is invalid or unenforceable, it should be modified or severed to the minimum extent necessary while preserving the remaining provisions, consistently with GEL v1.0.

## 9. Notably Absent - Interpretation Boundaries

- No statement that UAIF or GEL is open source or OSI-approved.
- No representation that public access to UAIF grants Commercial Use rights.
- Certification is not currently offered. ODA3 Institute may establish a future UAIF certification programme only through separately approved and published governance, criteria, assessment, appeals, registry, and mark-use artefacts. No public accreditation, audit or independent-assurance service is represented as operating by this Policy.
- No right to use ODA3 Institute, UAIF or other controlled marks beyond applicable nominative use without the required authorisation.
- No third-party patent clearance, freedom-to-operate opinion or guarantee that defensive publication eliminates all patent risk.
- No guarantee that trademark registration status is identical across jurisdictions or remains unchanged over time.

- No ownership claim over independently created third-party implementations merely because they interoperate with or refer to UAIF.
- No legal advice to implementers, contributors or licensees; organisations remain responsible for obtaining advice on their own facts and jurisdictions.

## 10. Canonical Related Instruments

| Document                 | Role                                                                                                                                              |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| GEL v1.0                 | Governing public licence for GAISSE Ecosystem materials; controls licensing, use, redistribution, commercial restrictions and reserved authority. |
| UAIF-CLA-002             | Contributor Licence Agreement for accepted specification/code contributions.                                                                      |
| UAIF-DCA-004             | Data Contribution Agreement for anonymised benchmarking/calibration contributions and related administrative data.                                |
| UAIF-TM-005              | Trademark & Brand Usage Policy; current mark administration and authorisation rules.                                                              |
| UAIF-DPR-007             | Defensive Publication Record template and prior-art/non-assertion administration.                                                                 |
| ODA3-2026-06-TCR-STD-002 | UAIF™ v1.0 Technical Specification.                                                                                                               |
| ODA3-2026-06-TCR-STD-003 | UAIF™ v1.0 Schema Specification.                                                                                                                  |

## 11. Revision Record

| Version | Date            | Disposition / material changes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|---------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| v1.0    | June 2026       | Initial public policy.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| v1.1    | 04 October 2026 | Corrected governance hierarchy; distinguished ODA3-owned and contributed copyright; removed hardcoded trademark-status claims and legacy certification-programme material; clarified that certification is not currently offered while preserving the possibility of a future programme under separate approved governance; corrected GDPR anonymisation framing; separated defensive-publication prior art from ODA3 patent non-assertion; added third-party patent/no-FTO boundary; standardised canonical document references; added continuity, dispute-resolution and Notably Absent controls. |

### PUBLICATION STATUS

PUBLIC - publication-ready corrected edition. This v1.1 revision does not amend GEL v1.0. Certification is not currently offered. A future UAIF certification programme may be established only through separate approved and published governance. This Policy does not itself establish a public certification, audit, accreditation or independent-assurance service.

Questions: [legal@oda3.org](mailto:legal@oda3.org) | Website: <https://oda3.org>